#6 12/15/03
2633TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.
12222-US
2003

DEC 11 2003

In Re Application Of: Matt PEARSON, et al:

TC 2600

Serial No.	Filing Date	Examiner	Group Art Unit
09/986,479	8 November 2001		2633

Title: OPTICAL PERFORMANCE MONITOR

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Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97 (b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97 (c)

2. The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- a certification as specified in 37 CFR 1.97(e);

OR

- the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
12222-US

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09/986,479	8 November 2001		2633

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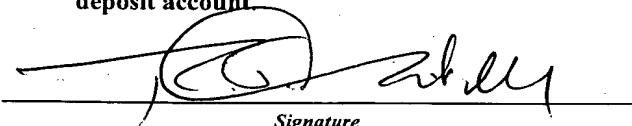
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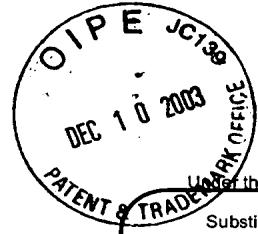
Richard J. Mitchell

34,519

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* 2 3 5 5 3 *



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1

2

Complete if Known

Application Number	09/986,479
Filing Date	8 November 2001
First Named Inventor	Matt PEARSON
Art Unit	2633
Examiner Name	
Attorney Docket Number	12222-US

U. S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³ , Number ⁴ , Kind Code ⁵ (if known)			
		WO 92/11516	07-09-1992		
		WO 01/18577 A1	03-15-2001		
		EP 0 703 679 A2	03-27-1996		
		FR 2 764 376 A1	12-11-1998		

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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of

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Complete if Known

<i>Application Number</i>	09/986,479
<i>Filing Date</i>	8 November 2001
<i>First Named Inventor</i>	Matt PEARSON
<i>Art Unit</i>	2633
<i>Examiner Name</i>	
<i>Attorney Docket Number</i>	12222-US

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		K.R. POGUNTKE, et al., "High-performance InP reflection-grating wavelength multiplexer", Electronics Letters, March 1994, Vol. 30, No. 6, pages 512-513.	
		M. TESHIMA, et al., "Multiwavelength simultaneous monitoring circuit employing wavelength crossover properties of arrayed-waveguide grating", Electronics Letters, August 1995, Vol. 31, No. 18, pages 1595-1597.	
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